

UTILITY PATENT APPLICATION TRANSMITTAL

(Large Entity)

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No.

96606/01UTL

Ev 008 608 035 US

Express Mail Number

CERTIFICATION UNDER 37 C.F.R. § 1.10

31 January 2002

Date of Deposit

I hereby certify that this Application and the documents referred to as enclosed therein are being deposited with the United States Postal Service in an envelope as "Express Mail Post Office to Addressee," addressed to:

Commissioner for Patents

BOX PATENT APPLICATION

Washington, D.C. 20231-9999

31 January 2002

Date of Signature

Robert W. Strozier

J1000 U.S. PTO
10/066291

TO THE COMMISSIONER FOR PATENTS

Box Patent Application

Washington, D.C. 20231

Transmitted herewith for filing under 35 U.S.C. § 111(a) and 37 C.F.R. § 1.53(b) is a new utility patent application for an invention entitled:

CRITICAL AND SUPERCRITICAL CLEANING OF HYDROCARBON-CONTAINING MATERIALS

and invented by:

Ian Tunnicliffe and Raymond Mt. Joy

Customer Number 23873



23873

PATENT TRADEMARK OFFICE

If this application is a **CONTINUATION APPLICATION**, check the appropriate box and supply the requisite information:

☐ Continuation ☐ Divisional ☐ Continuation-in-part of prior application no.: _____
Filing Date: _____

If this application **CLAIMS PROVISIONAL PRIORITY**, check the box and supply the requisite information:

☒ Provisional Patent Application Serial No.: 60/265,825 Filing Date: 1 February 2001

Enclosed are:

Application Elements

1. ☒ Filing fee as calculated and transmitted as described below
2. ☒ Specification having 39 pages, including the following:
 - a. ☒ Descriptive Title of the Invention

- b. ☒ **Cross References to Related Applications (if applicable)**
- c. ☐ Statement Regarding Federally-sponsored Research/Development (if applicable)
- d. ☐ Reference to Microfiche Appendix (if applicable)
- e. ☒ **Background of the Invention**
- f. ☒ **Summary of the Invention**
- g. ☒ **Brief Description of the Drawings**
- h. ☒ **Detailed Description of the Invention**
- i. ☒ **Claim(s) as Classified Below**
- j. ☒ **Abstract of the Disclosure**
3. ☒ **Drawing(s) (when necessary as prescribed by 35 USC 113)**
- a. ☒ **Formal** b. ☐ Informal **Number of Sheets** 9
4. ☐ Oath or Declaration
- a. ☐ Newly executed (original or copy) ☐ Unexecuted
- b. ☐ Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional application only)
- c. ☐ With Power of Attorney ☐ Without Power of Attorney
- d. ☐ DELETION OF INVENTORS
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
- ☐ Incorporation By Reference (usable if Box 4b is checked)
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference.
6. ☐ Computer Program on Microfiche
7. ☐ Genetic Sequence Submission (if applicable, all must be included)
- a. ☐ Paper Copy
- b. ☐ Computer Readable Copy
- c. ☐ Statement Verifying Identical Paper and Computer Readable Copy

Accompanying Application Parts

8. ☐ **Assignment Papers (cover sheet & documents)**
9. ☐ 37 CFR 3.73(b) Statement (when there in an assignee)
10. ☐ English Translation Document (if applicable)
11. ☐ Information Disclosure Statement/PTO-1449 ☐ Copies of IDS Citations
12. ☐ Preliminary Amendment
13. ☒ **Acknowledgment postcard**

14. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
15. ☐ Applicant claims the benefit of Small Entity Status
16. ☒ Applicant elects to have this application published - foreign counterparts have been filed.
17. ☐ Additional Enclosures (please identify below):

FEE CALCULATION AND TRANSMITTAL

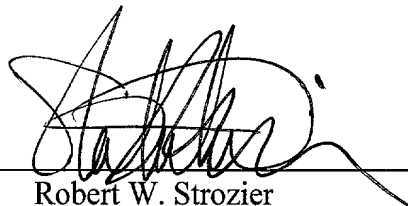
| CLAIMS AS FILED | | | | | |
|---|---------|-----------|---------|---------|-----------------|
| | # Filed | # Allowed | # Extra | Rate | Fee |
| Total Claims | 24 | 20 | 4 | \$9.00 | \$36.00 |
| Indep. Claims | 7 | 3 | 4 | \$42.00 | \$168.00 |
| Multiple Dependent Claims Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> | | | | | \$0.00 |
| ASSIGNMENT FEE | | | | | \$0.00 |
| BASIC FILING FEE | | | | | \$355.00 |
| TOTAL FILING FEE | | | | | \$559.00 |

A check in the amount of _____ to cover the filing fees is enclosed.

A check in the amount of \$40 to cover the Assignment Recordation fees is enclosed.

- ☐ The Commissioner for Patents is hereby authorized to charge and credit Deposit Account No. **501518** as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of _____ as filing fees.
- ☐ Credit any overpayment.
- ☐ Charge any additional filing fees required under 37 C.F.R. §§ 1.16 and 1.17.
- ☐ Charge the issue fee set in 37 C.F.R. § 1.18 at the mailing of the Notice of Allowance pursuant to 37 C.F.R. § 1.311(b).

Date: **31 January 2002**


 Robert W. Strozier

ROBERT W. STROZIER, P.L.L.C.

A FIRM SPECIALIZING IN INTELLECTUAL PROPERTY LAW INCLUDING
PATENT, TRADEMARK, COPYRIGHT, TRADE SECRET LAW,
UNFAIR COMPETITION AND RELATED MATTERS

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January 31, 2002

| | | |
|---|--------------------------------------|--------------------------------------|
| EV 008 608 035 US | CERTIFICATION UNDER 37 C.F.R. § 1.10 | 31 January 2002 Date of Deposit |
| I hereby certify that this Application and the documents referred to as enclosed therein are being deposited with the United States Postal Service in an envelope as Express Mail Post Office to addressee, addressed to: | | |
| Commissioner for Trademarks BOX Patent Application 2900 Crystal Dr. Arlington, VA 22202-3514 | | |
| Robert W. Strozier | | 31 January 2002 Date of Signature |

Honorable Commissioner for Patents
BOX Patent Application
Washington, D.C. 20231-9999

Attorney Docket No:
00017/01UTL

Re: **Priority Claim to U.S. Prov.Ser.No.: 60/265,825; File:02/01/01**
Title: Critical and Supercritical Cleaning of Hydrocarbon-containing Materials
Our Ref. No.: 00017/01UTL

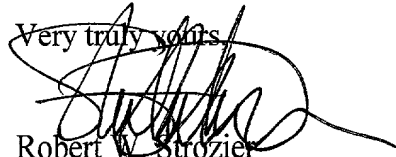
Dear Sir/Madam:

This letter is a request to file a **Original Patent Application** including: (1) a **31page disclosure**; (2) **9 sheets of drawings**; (3) **Transmittal letter**; and (4) a **Return Receipt Postcard**.

Please return the enclosed postcard to acknowledge receipt and filing of this application.

Please address all correspondence in connection with this application to:

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Very truly yours,

Robert W. Strozier

RWS/sls

Enclosures:

U.S. Original Patent Application
Transmittal
Return Self-Addressed Stamped Postcard